

Docket No. SCHWP0150USA

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Applicant: Dr. Philipp Tanner et al.
Serial No.: Not Yet Assigned
Filed: April 7, 2004
For: METHOD AND DEVICE FOR TRANSCRANIAL MAGNETIC STIMULATION
Art Unit: Not Yet Assigned
Examiner: Not Yet Assigned

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

1. Pursuant to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed to the patents, pending applications, publications and other information listed on the attached PTO-1449. A copy of each listed document is enclosed, except for (a) those previously cited or submitted to the Office in the following application(s) upon which this application relies for an earlier filing date under 35 U.S.C. 120, and (b) any U.S. patent or U.S. patent application publication if the present application was filed after June 30, 2003 or entered the national stage under 35 USC § 371 after June 30, 2003:

Serial No.: 10/003,476 _____
Filing Date: November 1, 2001 _____

Regarding any document, publication or other information for which a date is not given on the attached PTO-1449, Applicant(s) believe(s) the same may qualify as "prior" art to this application and should be treated accordingly, although Applicant(s) reserve(s) the right to contest the prior art status of any document, publication or information, should issue arise.

2. Regarding each listed document that is not in the English language, an English-language translation accompanies this Statement as indicated on the attached PTO-1449 or a concise explanation of the relevance of the document is set forth in the following document(s):

(a) ____ A copy of each English language version of a search report (or EPO Search Report) indicating the degree of relevance found by the foreign office of each document being submitted from the search report, is being submitted herewith or has previously been submitted.

(b) ____ Attached is a "Concise Explanation of Relevance of Non-English Language Documents".

3. Pursuant to 37 C.F.R. 1.97(b) this Statement is being filed (one must be checked):

(a) X Within 3 months of the filing date or date of entry into the National Stage.

(b) ____ Before the mailing date of a first Office Action on the merits. If this Statement is not filed before the mailing date of a first Office Action on the merits, the required certification is given below or, in the absence thereof, the Office is authorized to charge the required fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 18-0988 for consideration of this Statement.

(c) ____ Before the mailing date of a first Office Action on the merits after a first or second submission after final rejection under 37 C.F.R. 1.129(a).

(d) ___ After the period set forth in 37 C.F.R. 1.97(b) but before the mailing date of either a final action or a notice of allowance.

(1) ___ The required certification is given below, or

(2) ___ Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(p) for consideration of this Statement, or

(3) ___ Charge the fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 18-0988

(e) ___ After the mailing date of either a final action or a notice of allowance, but before payment of the issue fee. Petition hereby is made for consideration of this Statement and the required certification is indicated below.

(1) ___ Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(p), or

(2) ___ Charge the fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 18-0988.

4. Certification (if applicable)

(a) ___ The undersigned hereby certifies that each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this Statement.

(b) ___ The undersigned hereby certifies that no item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the undersigned's knowledge after making reasonable inquiry, no item of information contained in this statement was known to any individual designated in 37 C.F.R. 1.56(c) more than 3 months prior to the filing of this Statement.

5. The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 18-0988.

Respectfully submitted,

RENNER, OTTO, BOISSELLE & SKLAR, LLP

By



Don W. Bulson, Reg. No. 28,192

1621 Euclid Avenue, 19th Floor
Cleveland, Ohio 44115
(216) 621-1113

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence (along with any paper referenced as being attached or enclosed) is being deposited on the below date with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: 4-8-04


Linda McElroy

Form PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	Atty Docket No.	Serial No.
	SCHWP0150USA	
	Applicant: Dr. Philipp Tanner et al.	
	Filing Date	Group

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date (MM/YYYY)	Name	Class	Sub-class	Filing Date if Appropriate
	3,967,616	07-1976	Ross, Sidney A.			
	4,949,725	08-1990	Raviv et al.			
	5,119,816	06-1992	Gevens, Alan S.			
	5,263,488	11-1993	Van Veen et al.			
	5,366,435	11-1994	Jacobson, Jerry I.			
	5,441,495	08-1995	Liboff et al.			
	5,501,704	03-1996	Chang et al.			
	5,545,191	08-1996	Mann et al.			
	5,644,234 A	07-1997	Rasche et al.			
	5,687,724	11-1997	Jewett et al.			

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date (MM/YYYY)	Country	Class	Sub-class	Translation	
						Yes	No
	98/06342 A	02/1998	WO				
	2 271 931	05/1994	UK				

OTHER ART

Examiner Initial	Author, Title, Date, Pertinent Pages, etc.
	Krings, T. et al. "Stereotactic Transcranial Magnetic Stimulation: Correlation with Direct Electrical Cortical Stimulation." <u>Neurosurgery</u> . Vol. 41, No. 6, December 1997. Pages 1319-1326.
	Ettinger, Gil J. et al. "Experimentation with a Transcranial Magnetic Stimulation System for Functional Brain Mapping." <u>Medical Image Analysis</u> , Volume 2, Number 2; June, 1998. Pages 133-142.
	Ruohonen, J. et al. "Focusing and targeting of magnetic brain stimulation using multiple coils." <u>Medical & Biological Engineering & Computing</u> . (05/1998): 297-301.

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Information Disclosure Statement PTO-1449 (Modified)

The identification of any reference is not intended to be, and should not be understood as being, an admission that such publication, in fact, constitutes "prior art" within the meaning of applicable law since, for example, a given reference may have a later effective date than first seems apparent or the reference may have an effective date which can be antedated. The "prior art" status of any reference is a matter to be resolved during prosecution.

Z:\SEC152\152\IDB\SCHWP\PO150\PO150USA_IDS.wpd (IDS1449.FRM) (2/97)

Form PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	Atty Docket No.	Serial No.
	SCHWP0150USA	
	Applicant: Dr. Philipp Tanner et al.	
	Filing Date	Group

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date (MM/YYYY)	Name	Class	Sub-class	Filing Date if Appropriate
	5,707,334	01-1998	Young, Robert B			
	5,738,625 A	04-1998	Gluck			
	5,769,778	06-1998	Abrams et al.			
	5,883,600	11-1998	Young, Robert B			
	6,014,582	01-2000	He, Bin			
	6,066,084	05-2000	Edrich et al.			
	6,129,685	10-2000	Howard, III, Matthew A.			
	6,132,361	10-2000	Epstein et al.			
	6,234,953	05-2001	Thomas et al.			
	6,266,556	07-2001	Ives et al.			
	6,280,376	08-2001	Holcomb, Robert R.			
	6,351,659 B1	02-2002	Vilsmeier			
	6,438,399	08-2002	Kurth, Charles D.			
	6,463,328 B1	10-2002	John, Michael Sasha			
	6,491,620 B1	12-2002	Davey, Kent R.			
	6,516,246 B2	02-2003	Derakhshan, Iraj			

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date (MM/YYYY)	Country	Class	Sub-class	Translation	
						Yes	No

OTHER ART

Examiner Initial	Author, Title, Date, Pertinent Pages, etc.
	Ettinger, Gil J. et al. "Non-Invasive Functional Brain Mapping Using Registered Transcranial Magnetic Stimulation.", 1996 IEEE, Proceedings of MMBIA, p. 32-41. XP-002202005

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Information Disclosure Statement PTO-1449 (Modified)

The identification of any reference is not intended to be, and should not be understood as being, an admission that such publication, in fact, constitutes "prior art" within the meaning of applicable law since, for example, a given reference may have a later effective date than first seems apparent or the reference may have an effective date which can be antedated. The "prior art" status of any reference is a matter to be resolved during prosecution.

Z:\SEC152\152\IDWB\SCHWP\IP0150\IP0150USA. IDS.wpd (IDS1449.FRM) (2/97)